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MS AF REPLY UNDER 37 C.F.R. §1.116 EXPEDITED PROCEDURE EXAMINING GROUP

## UNITED STATES DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PATENT EXAMINATION BRANCH

In re Application of:	)	Examiner: Sheleheda, James R.
Isaac BENTOLILA et al.	)	
	)	Group Art Unit: 2623
For: SYSTEM AND METHOD FOR	)	
BEHAVIORAL MODEL CLUSTERING IN	)	Confirmation No.: 7739
TELEVISION USAGE, TARGETED	)	
CLUSTERING, AND PREFERENCE	)	Response to the final Office Action
ADVERTISING VIA MODEL PROGRAMMING	3 )	dated May 23, 2008
BASED ON BEHAVIORAL MODEL CLUSTER	RS)	
	)	
Application No.: 10/043,698	)	
	)	
Filed: January 9, 2002	)	

## RESPONSE TO THE FINAL OFFICE ACTION DATED MAY 23, 2008

Mail Stop: AF Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Applicant hereby responds to the final Office Action of May 23, 2008, in the abovereferenced patent application. Reexamination, reconsideration and allowance of the rejected
claims are respectfully requested in view of the following amendments and remarks. No new
matter has been added. Please charge any deficit or credit any surplus to our Deposit Account
No. 01-1960. A duplicate copy of this page is enclosed for this purpose.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.

## Amendments to the Claims:

This listing of claims will replace all prior versions of claims in the application:

Claim 1 (currently amended): A method of determining a television viewer's

viewing habits, comprising:

selecting a plurality of demographic groups to associate viewers with:

recording a viewer's monitor behavior with data item variables including watched

channel, watching start time, and at least one of watching date and watching duration:

associating a particular demographic group of the plurality of demographic groups with

the viewer:

from a server-side system, inputting historical data information regarding demographic

information tagged to the viewer for the viewer's demographic group;

inputting preferred program guide information for the demographic group; and

at a client-side system, associating the program guide information with the viewer's

monitor behavior and defining therefrom a knowledge base with demographic group cluster

information of the viewer in terms of statistical state machine transition models.

Claim 2 (previously presented): The method according to claim 1, wherein defining

the knowledge base comprises calculating a parameterized transition matrix defining the viewer's

viewing habits, the parameterized transition matrix containing information of program transitions

initiated by the viewer, and wherein the row number and the column number of the element

represent the first and the second states.

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Claim 3 (previously presented): The method according to claim 2, further comprising defining at least two concurrent transition matrices including a channel matrix and a genre matrix.

Claim 4 (previously presented): The method according to claim 2, further comprising defining the transition matrix as a two-dimensional matrix with transitions from television channels to television channels in temporal form.

Claim 5 (previously presented): The method according to claim 1, further comprising providing feedback information with the viewer's monitor behavior by recording a click stream.

Claim 6 (previously presented): The method according to claim 1, further comprising parameterizing the viewer's monitor behavior with a pseudo hidden Markov process.

Claim 7 (previously presented): The method according to claim 18, further comprising defining the double random process with a plurality of dimensions, and determining parallel statistical state machine transition events in at least two of three state categories including channel, genre, and title.

Claim 8 (currently amended): A computer-readable medium encoded with a

plurality of processor executable instructions for implementing a function of:

selecting a plurality of demographic groups to associate a plurality of viewers with;

associating a particular demographic group of the plurality of demographic groups with

each viewer:

capturing state transitions by defining monitor behavior in a plurality of statistical state

machine families each representing viewing behavior of [[a]]the particular demographic

group;

at a client-side system, combining the statistical state machine families into global

statistical state machines defined in a global probability density function;

updating and reinforcing the global probability density function upon determining that a

given probability function has a higher confidence level than a previous probability density

function: and

outputting a global profile based on the global probability density function, wherein the

global profile is suitable for determining programming content of a television server for classes

of viewers

Claim 9 (previously presented): The computer-readable medium according to claim

8, wherein the state transitions represent a television viewer's monitor behavior and the statistical

state machines are selected from the group consisting of watched channel, watching start time,

and at least one of watching date and watching duration.

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Claim 10 (previously presented): The computer-readable medium according to claim

8, wherein the global profile represents demographic cluster information of a viewer in terms of a

statistical state machine transition matrix.

Claim 11 (previously presented): The computer-readable medium according to claim

8, wherein the state machines are defined in a parameterized transition matrix defining the

viewer's viewing habits, the transition matrix comprising an element indicating information of a

program transition initiated by the viewer.

Claim 12 (previously presented): The computer-readable medium according to claim

11, wherein the parameterized transition matrix is one of at least two concurrent transition

matrices including a channel matrix and a genre matrix.

Claim 13 (previously presented): The computer-readable medium according to claim

11, wherein the parameterized transition matrix is a two-dimensional matrix with transitions

from television channels to television channels in temporal form.

Claim 14 (previously presented): The computer-readable medium according to claim

8, further comprising instructions for parameterizing the viewer's monitor behavior with a pseudo

hidden Markov process.

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Claim 15 (previously presented): The computer-readable medium according to claim

8, further comprising instructions for defining a double random process with a plurality of

dimensions, and determining parallel statistical state machine transition events in at least two of

three state categories including channel, genre, and title,

Claim 16 (previously presented): The computer-readable medium according to claim

10, further comprising instructions for:

at the client-side system, associating program guide information with the viewer's

monitor behavior and defining therefrom a knowledge base with demographic cluster

information of the viewer in terms of statistical state machine transition matrices.

Claim 17 (previously presented): The method according to claim 1, wherein the data

items have a probability function with a confidence level, the method further comprising:

updating the historical data information upon determining that a given data item has a

probability function with a higher confidence level then a previous data item.

Claim 18 (previously presented): The method according to claim 6, wherein the

pseudo hidden Markov process is a double-random process.

Claim 19 (previously presented): The method according to claim 18, further

comprising:

defining a low level statistical state machine modeling a behavioral cluster and a top-level

statistical state machine with active behavioral clusters and an interaction among the active

behavioral clusters.

Claim 20 (previously presented): The computer-readable medium according to claim

14, wherein the pseudo-hidden Markov process is a double-random process.

Claim 21 (previously presented): The computer-readable medium according to claim

20, further comprising:

defining a low-level statistical state machine modeling a behavioral cluster and a top-

level statistical state machine with active behavioral clusters and an interaction among the active

behavioral clusters.

Claim 22 (previously presented): The method according to claim 2, wherein the

parameterized transition matrix is in a temporal form.

Claim 23 (previously presented): The method according to claim 2, wherein the

parameterized transition matrix includes a first matrix for TV watching activities and a second

matrix for TV channel surfing.

Claim 24 (previously presented): The method of claim 1, wherein the statistical state

machine transition models employ a parameterized transition matrix, and wherein the transition

matrix comprises an element indicating a transition from a first state to a second state, and

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wherein each of the first and second states is indicated by one of a row and a column of the

transition matrix

Claim 25 (previously presented): The computer readable medium of claim 8, wherein

the instructions further comprise describing the state transitions in a parameterized transition

matrix.

Claim 26 (previously presented): The computer readable medium of claim 25,

wherein the transition matrix comprises an element indicating a transition from a first state to a

second state, and wherein each of the first and second states is indicated by one of a row and a

column of the transition matrix.